

Employment of Academic General Faculty Members (Tenure-Ineligible)

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Applies To

Academic Division

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Reason for Policy

Academic general faculty members provide important academic service to the University community, focusing on teaching, research, integration of professional practice, academic librarianship, or clinical service. This policy provides the terms and conditions of their employment, establishing clear standards for review and promotion and affirming their role in faculty self-governance at the University.

Definition of Terms

General Faculty

The term “general faculty” came into use around the start of the 20th century to refer to all faculty members at the University, each of whom held tenure or were eligible for tenure in one of the University’s schools. Today, the General Faculty encompasses all academic faculty at the University and convenes once each academic year to approve the conferral of degrees in each school of the University. In the 1970’s, the University began to hire faculty members who would not be eligible for tenure. They were called “general faculty members” because they were members of the General Faculty but did not hold tenure in a school.

General Faculty Members

Tenure-ineligible salaried faculty positions that focus on teaching, research, professional practice in an academic discipline, academic librarianship, or clinical service, or provide high-level administrative or professional services in support of the institution’s academic mission.

Academic General Faculty Members

General Faculty Members whose primary responsibility is teaching, research, integration of professional practice, academic librarianship, or clinical service. These responsibilities do not necessarily encompass the full scope of responsibilities expected from tenure-track faculty positions (e.g., an academic general faculty member could have primary responsibilities for research with minimal or no responsibility for classroom instruction or have primary responsibilities for teaching and/or clinical practice without research obligations).

Administrative or Professional General Faculty Members

General Faculty Members whose primary responsibilities (at least 50%) are to provide services to faculty, students, and staff in order to support the institution’s primary missions of instruction, research, and public

service (see policy [HRM-003: Employment of Administrative or Professional General Faculty Members](#)). Effective January 3, 2017, the University no longer hires Administrative or Professional General Faculty Members.

Administrative General Faculty Members who perform work directly related to the management of the educational mission and general activities of the institution, department, or subdivision thereof, and whose position is normally within three reporting steps of the president (e.g., the dean of a school).

Professional General Faculty Members who perform work requiring advanced learning and experience acquired by prolonged formal instruction and/or specialized work experience, normally limited to professional positions serving education, research, medical, student affairs, and other such activities.

Policy Statement

Academic General Faculty Members make important academic contributions to the University community, focusing primarily on teaching, research, integration of professional practice, academic librarianship, or clinical service. This policy provides the terms and conditions of their employment, establishes clear standards for review and promotion, and affirms their role in faculty self-governance at the University.

This policy's specific requirements are motivated by the core values of respect, equity, opportunity for advancement, transparency, and consistency. Schools should act in accordance with these values when applying and interpreting this policy, their own school-specific policies, and in all interactions with Academic General Faculty Members.

Academic General Faculty Members are normally hired within one of the University's schools or in the University Library. In rare circumstances, they may be hired in a unit reporting to the executive vice president and provost ("provost") or the president. Such hires require the advance written approval of the provost.

In this policy, all references to schools/deans are intended to refer also to units/unit heads that employ Academic General Faculty Members.

I. SCHOOL-SPECIFIC POLICIES:

Each school that employs Academic General Faculty Members is required to publish a school-specific policy that must be approved by the provost.

School policies must conform to the general requirements set forth in this policy and, where appropriate, provide greater specificity, consistent with the school's particular needs and structure. School policies must be developed and revised in consultation with the school's faculty with representation from its Academic General Faculty Members.

These policies must ensure that Academic General Faculty Members are represented equitably in matters of shared governance in the school, including, but not limited to, the hiring, evaluation, and promotion of Academic General Faculty Members. School policies should make clear what rights and opportunities apply to Academic General Faculty Members, such as sabbatical leave, emeritus status, and roles in matters related to the hiring, evaluation, and promotion of tenured or tenure-track faculty members. (See [Procedure 2, Obtaining Approval for School-Specific Policies on Academic General Faculty Members](#).)

II. PROFESSORIAL AND FACULTY LIBRARIAN RANKS AND TRACKS:

A. Ranks and Titles:

Academic General Faculty Members may hold professorial rank (assistant professor, associate professor, and professor) or faculty librarian rank (assistant librarian, associate librarian, and librarian) on the appropriate track (see section [II.C. Tracks](#) and, for Academic General Faculty Members in the School of Medicine, see "[Faculty Appointment Designations in the School of Medicine](#)"). Academic General Faculty Members in all schools except the School of Medicine may hold a lecturer or instructor rank only as described below (see section [III.A. Use of the Lecturer Ranks](#) and, for faculty members in the School of Medicine, see "[Faculty Appointment Designations in the School of Medicine](#)").

Formal faculty titles, as defined in policy [PROV-029: Faculty Appointment Types and Titles](#), and specified below in section [II.C. Tracks](#), or "[Faculty Appointment Designations in the School of Medicine](#)," must be used internally when presenting faculty actions for approval to the University's Board of Visitors and in all school communications related to a faculty member's employment (including appointment letters, performance evaluations, recommendations for promotion, etc.). In addition to the use of formal faculty titles as required by the University, schools should address in their policies if and when formal faculty titles will be required in other contexts.

B. Qualifications:

Academic General Faculty Members who hold professorial or faculty librarian rank normally must hold the terminal degree expected for faculty who teach in their discipline. In exceptional circumstances, on the practice track, or in disciplines where commensurate experience is a typical qualification for professorial appointment, an individual with significant related experience who does not hold the qualifying terminal degree may be hired with professorial rank. In such cases, the school must request approval in writing and provide a justification describing the individual's alternate qualifications to the provost (see [Procedure 4, Documenting Faculty Qualifications](#)). Where the department routinely expects to hire individuals for the position with a particular combination of education and commensurate experience, approval should be sought for the position, rather than an individual.

C. Tracks:

Schools and the University Library appointing Academic General Faculty Members with professorial or faculty librarian rank must utilize one of four available tracks to identify the faculty member's primary responsibilities: teaching, research, practice, or academic librarianship. The School of Medicine uses a different set of tracks, which are consistent with national practices in academic medicine and which have been approved by the provost (see "[Faculty Appointment Designations in the School of Medicine](#)").

More specific information on the tracks used within a particular school, the evaluation/promotion criteria associated with each track, and details regarding the promotion review process, will be specified in the school's policies on Academic General Faculty Members.

A faculty member's effort distribution must include sufficient allocation for activities required for reappointment or promotion. The structure of positions that include significant academic leadership responsibilities (such as association deans in the College of Arts & Sciences, program directors, or academic center directors) may vary from the guidelines specified below, including the suggested

percentage of time devoted to responsibilities associated with the relevant track and criteria for promotion.

1. Teaching Track:

Academic General Faculty Members on the teaching track hold one of the professorial ranks and one of the following as their formal faculty title: Assistant Professor of [Area], General Faculty; Associate Professor of [Area], General Faculty; Professor of [Area], General Faculty.

Academic General Faculty Members on the teaching track engage primarily in student education and development (generally 60–90% of their effort), provide service to the University (at least 10% of their effort), with any remaining effort allocated to scholarship, engagement, an administrative role, or other activities. Because teaching is the primary responsibility of Academic General Faculty Members on the teaching track, the course load for these positions will often be higher than it would be for a tenure-track or tenured faculty member. Academic General Faculty Members on the teaching track may engage in scholarship as part of their responsibilities, but scholarship is not required for either renewal or promotion unless it is specified as a requirement in the appointment letter, position statement, or school policy, and effort is allocated to it in the faculty member's assigned effort distribution. Schools must define what types of scholarship, if any, will be considered for renewal and promotion, and how it will be evaluated, in the school's policies on Academic General Faculty Members.

To be promoted to associate professor on the teaching track, Academic General Faculty Members must demonstrate excellence in teaching and meet or exceed the expectations of their position as defined in their appointment letter, position statement, or school policy. They also must demonstrate, either through internal review or local or regional reputation, that they have attained status as a superior educator. Scholarship is not required for promotion unless it is specified as a requirement in the appointment letter, position statement, or school policy. If required, scholarship must be evaluated for promotion on the teaching track according to the standards set out in the school's policies on Academic General Faculty Members.

Promotion to professor on the teaching track requires sustained excellence in teaching, contributions to the educational capabilities and excellence of the University, and a regional, national, or international reputation based on professional or academic achievements. The extent of the required reputation may depend on the discipline. Promotion to professor also may require further achievements, as set forth in the school's policies on Academic General Faculty Members, such as substantial scholarship, national contributions to the development of curricula or pedagogy in their discipline, or many years of extraordinary service to the school or University.

2. Research Track:

Academic General Faculty Members on the research track hold one of the professorial ranks and one of the following as their formal faculty title: Research Assistant Professor of [Area]; Research Associate Professor of [Area]; Research Professor of [Area].

Academic General Faculty Members on the research track engage primarily in research (generally 60–100% of their effort). To the extent they are supported by non-grant funds,

Academic General Faculty Members on the research track may participate in service, prepare new grant proposals, or engage in other activities unrelated to their current grants.

Academic General Faculty Members hired with the rank of assistant professor on the research track are expected to engage in independent research or contribute to collaborative research/team science efforts. To be promoted to associate professor, Academic General Faculty Members on the research track must establish a substantial record of scholarship appropriate to their responsibilities and demonstrate leadership in local, regional, or national discipline-related affairs.

Promotion to professor requires independent research or research support as defined in the appointment letter or position statement, a sustained record of scholarship appropriate to the position, and national or international recognition for contributions to the field. Promotion to professor also may require further achievements, as set forth in the school's policies on Academic General Faculty Members, such as significant innovations or accomplishments in research or many years of extraordinary service to the school or University.

3. Practice Track:

Academic General Faculty Members on the practice track hold one of the professorial ranks and one of the following as their formal faculty title: Assistant Professor of Practice in [Area], Associate Professor of Practice in [Area], or Professor of Practice in [Area].

Academic General Faculty Members on the practice track focus on integrating professional experience with the academic mission of the school, as well as providing service to the University.

To be promoted to associate professor on the practice track, Academic General Faculty Members must demonstrate sustained excellence in integrating professional experience with the academic mission of the school, meet or exceed the expectations of their position as defined in their appointment letter, position statement, or school policy, and receive regional, national, or international recognition for contributions to their professions. Scholarship is not required for promotion unless it is specified as a requirement in the appointment letter, position statement, or school policy. If required, scholarship must be evaluated for promotion on the practice track according to the standards laid out in the school's policies on Academic General Faculty Members.

Promotion to professor on the practice track requires further sustained excellence in integrating professional experience with the academic mission of the school, substantial contributions to the capabilities and excellence of the University, and further recognition for regional, national, or international achievements in the relevant professional field(s). Promotion to professor also may require further achievements, as set forth in the school's policies on Academic General Faculty Members, such as substantial scholarship, national or international contributions to the integration of professional experience with academic instruction or scholarly research, or many years of extraordinary service to the school or University.

4. **Library Track:**

All librarians at the University make significant professional contributions by providing research support to faculty and students, maintaining, and expanding library collections, and serving patrons. In addition to these important professional undertakings, some librarian positions primarily involve the production of original scholarship and other similar endeavors that support designation as Academic General Faculty Members.

Academic General Faculty Members on the library track (Faculty Librarians) hold one of the following as their formal faculty title: Assistant Librarian, Associate Librarian, or Librarian.

Faculty Librarians are individuals whose primary responsibilities (at least 60%), as defined in an appointment letter or position statement, involve the production of original scholarship for publication, teaching, or innovation in research methods.

Faculty Librarians may be hired within the University Library. Any other school or unit wishing to hire Faculty Librarians may do so only with the advance permission of the provost.

Faculty Librarians must hold a terminal disciplinary or professional degree, in library science (Masters in Library Science), information science, or another field directly relevant to their faculty work.

Faculty Librarians with teaching responsibilities are also governed by policy [PROV-008: Teaching Courses for Academic Credit](#) and must be qualified in accordance with that policy.

To be promoted to associate librarian, Academic General Faculty Members must demonstrate evidence of sustained excellence in original scholarship, teaching, or innovation in research methods, meet or exceed the expectations of their position as defined in their appointment letter or position statement, and receive regional, national, or international recognition for contributions to academic librarianship. Scholarship is not required for promotion unless it is specified as a requirement in the appointment letter or position statement. If required, scholarship must be evaluated for promotion on the library track according to the standards laid out in the library's policies on Academic General Faculty Members.

Promotion to librarian requires further sustained excellence in original scholarship, teaching or innovation in research methods, substantial contributions to the capabilities and excellence of the University, and further recognition for regional, national, or international achievements in academic librarianship.

III. **LECTURER AND INSTRUCTOR RANKS:**

This section applies to Academic General Faculty Members in all schools except the School of Medicine. The School of Medicine does not use the lecturer ranks and its use of the rank of instructor, which is consistent with national practices in academic medicine, is governed by separate guidelines, which have been approved by the provost (see "[Faculty Appointment Designations in the School of Medicine](#)").

Academic General Faculty Members in the lecturer or instructor ranks hold one of the following as their formal faculty title: Lecturer in [Area], Senior Lecturer in [Area], Distinguished Lecturer in [Area]; or Instructor of [Area], Senior Instructor of [Area], Distinguished Instructor of [Area].

A. Use of the Lecturer or Instructor Ranks:

Schools may use the lecturer or instructor ranks only when the primary teaching responsibilities associated with the position do not require the qualifying terminal degree. Schools may, for example, not require a qualifying terminal degree for positions that focus primarily on teaching undergraduate competency or technical skills, such as, language acquisition, writing instruction, or computer software applications. Schools are not required to use the lecturer and instructor ranks for these positions. School-specific policies must stipulate whether or not the lecturer and/or instructor ranks will be used and, if so, define criteria (in addition to the criteria defined in this policy) that will be used to determine their appropriate use in the school.

The lecturer ranks should be used for positions that deliver instruction primarily to a class or group. The instructor ranks should be used for positions that deliver instruction primarily to individual students (e.g., clinical supervision).

The lecturer or instructor titles also may be held by faculty wage employees (who are not governed by this policy) and other types of employees who assume teaching responsibilities (see policies [PROV-026: Faculty Wage Employment](#) and [PROV-008: Teaching Courses for Academic Credit](#)).

B. Qualifications:

Academic General Faculty Members who hold a lecturer or instructor rank normally must hold a minimum of a master's degree in their discipline. In exceptional circumstances, schools may hire individuals with significant related experience who do not hold the master's degree in their discipline with the provost's advance written approval (see Procedure 4, Documenting Faculty Qualifications).

C. Responsibilities:

Academic General Faculty Members holding the rank of lecturer or instructor may be expected to perform service in their departments or schools, in accordance with the school's policy on Academic General Faculty Members. Academic General Faculty Members holding the rank of senior lecturer, senior instructor, distinguished lecturer, or distinguished instructor are expected to contribute service to their department, school, and/or the University, in addition to performing their teaching responsibilities. Teaching responsibilities may be reduced to accommodate increased responsibilities for service activities, but teaching must remain at least 60% of the faculty member's overall responsibilities. A faculty member's effort distribution must include sufficient allocation for activities required for reappointment or promotion.

Promotion to senior lecturer or senior instructor requires demonstrated excellence as a teacher. Promotion to distinguished lecturer or distinguished instructor requires further sustained excellence as a teacher and substantial service to the school or University. The specific criteria and types of documentation required for promotion to senior lecturer/instructor and distinguished lecturer/instructor are defined by each school in its policies on Academic General Faculty Members.

IV. APPOINTMENTS, CONTRACT RENEWAL, PROMOTION, AND TERMINATION:

A. Initial Appointment Term:

Academic General Faculty Members may be given an initial appointment of a three-year term; a one-year term, followed by a two-year term when renewed; or three successive one-year terms. One-

year terms should be used sparingly and primarily when further performance evaluation is necessary or the hire is filling a short-term need, such as a leave replacement. With the first renewal after the third year of continuous service, the Academic General Faculty Member will, when renewed, be offered a three-year appointment. Exceptions to these practices must be approved both in writing and in advance by the provost.

B. Contract Renewals:

Schools must have written criteria and procedures governing appointment (i.e., contract) renewals. No appointment may be renewed without a successful performance review. Only designated academic hiring officials as specified in [PROV-006: Extending Offers of Employment to Faculty in Academic Areas](#) are authorized to renew a faculty member's appointment. Academic General Faculty Members who hold a three-year appointment who are to be reappointed should be notified in writing within three months of said reappointment by a designated academic hiring official.

Schools will abide by the University's [statement on academic freedom](#) when making decisions about a faculty member's employment contract. Beginning with the faculty member's sixth year of employment as an Academic General Faculty Member, in the event of a negative renewal decision, the dean will notify the Academic General Faculty Member in writing and provide reasons for the non-renewal. The faculty member will have thirty (30) days to submit a written appeal to the provost. The appeal should be accompanied by adequate documentation and a statement of reasons as to why the decision is believed to be inappropriate.

C. Promotion:

Academic General Faculty Members will be considered for promotion in rank within seven years in an assistant, lecturer, or instructor rank unless they choose to postpone or forego promotion review by opting out in writing. Choosing to postpone or forego promotion review does not preclude renewal.

The faculty member's supervisor or manager (usually a department chair, associate dean, or dean) and the faculty member may agree that they are ready to be considered for early promotion based on exceptional performance or prior experience.

All Academic General Faculty Members must undergo an annual performance review in accordance with the provost's policy, "Annual Performance Reviews." The criteria for these annual performance reviews should correspond to criteria in the appointment letter, position statement or school policy. Feedback received through the annual performance review should help guide the faculty member towards promotion. Departments must also offer faculty the opportunity for comprehensive feedback regarding their progress toward satisfying the criteria for promotion, either as part of an existing annual review or a separate process.

All reviews for promotion will be conducted in accordance with the school's policies for Academic General Faculty Members. For more detail regarding the criteria for promotion, see section [II.C. Tracks](#) and [III.C. Responsibilities](#). Criteria for promotion include performance or achievements that exceed the minimum requirements of the appointment letter or position description. Therefore, time served in rank does not guarantee promotion.

Once a school has completed its promotion review process, the dean will make a recommendation to the provost regarding the promotion of the Academic General Faculty Member. Each recommendation (whether positive or negative) must be supported by written evidence of adequate consideration and review.

In the event of a negative recommendation, the dean will notify the Academic General Faculty Member in writing with copy to the provost. The faculty member will have thirty (30) days to submit a written appeal to the provost. The appeal will be accompanied by adequate documentation and a statement of reasons as to why the recommendation is believed to be inappropriate.

The provost will review the dean's recommendations regarding promotion (both positive and negative). In the event of a negative decision and the receipt of a written appeal from the faculty member, the provost will also review the faculty member's appeal and related documentation before making a final determination regarding the dean's recommendations.

A school may renew the contract of a faculty member with assistant, lecturer, or instructor rank who has been denied a promotion. Reappointment following an unsuccessful promotion review will be for three-year terms.

Faculty members who are denied a promotion will not be considered again in the annual review cycle immediately following the denial, but they may choose to be considered in a later cycle.

Academic General Faculty Members who have received notice of non-renewal (see section [IV.E. Standards of Notice for Non-Renewal](#)) may not initiate the promotion review process.

D. Renewal Following Promotion:

Once promoted to associate or senior rank, the Academic General Faculty Member will continue to be reappointed for three or five-year terms, per school policy, and may be given notice of non-renewal in accordance with the standards of renewal defined below in section [IV.E. Standards of Notice for Non-Renewal](#), only with the provost's advance written permission and only if one of the two conditions applies:

1. The faculty member's performance falls below the standards required by the school: At any time, or if the annual performance review or interim evaluations reveal that, the faculty member's performance does not meet the expectations of their position as defined in their appointment letter, position statement, or school policy, the school will provide the faculty member with written guidance that documents the deficiencies in performance, stipulates that future reappointments are contingent upon significant improvement in performance, and establishes a timeline by which the faculty member needs to demonstrate significant improvements in performance.
2. The school no longer needs the disciplinary expertise for which the faculty member was hired: Situations that may warrant non-renewal include, but are not limited to, the closure of a specialized center, sustained declines in student enrollment in a particular field, significant curricular redesign, or a change in curricular standards defined by an accrediting agency.

These conditions apply as well to Academic General Faculty Members hired at or above associate or senior rank who are renewed after their initial appointment term (see section [IV.A. Initial Appointment Term](#)).

For positions supported by contingent funds, the provisions of this section do not preclude schools from placing the faculty member on leave without pay and/or giving immediate notice of non-renewal in the event such funds are no longer available (see section [VII. Positions Supported by Contingent Funds](#)).

E. Standards of Notice for Non-Renewal:

One-year appointments of Academic General Faculty Members (whether paid over nine or twelve months) carry no expectation of renewal and do not require advance notice of nonrenewal.

Academic General Faculty Members who hold an appointment with a term of two years or more (whether paid over nine or twelve months) whose appointment (i.e., contract) will not be renewed should receive written notice of non-renewal at least twelve months before the expiration of their appointment. If notice of non-renewal is received at less than twelve months before the expiration of an appointment, they are entitled to twelve months of employment following the date of that notice.

These standards of notice for non-renewal do not apply to positions supported by contingent funds when such funds are no longer available (see section [VII. Positions Supported by Contingent Funds](#)).

F. Termination for Just Cause:

Academic General Faculty Members may be terminated for just cause in accordance with the policy, "[Disciplinary Suspension or Termination of Academic Faculty](#)." In the event of termination for just cause, Academic General Faculty Members are not eligible for the standards of notice defined in section [IV.E. Standards of Notice for Non-Renewal](#).

V. CHANGING TRACKS AS AN ACADEMIC GENERAL FACULTY MEMBER:

This section applies to Academic General Faculty Members in all schools except the School of Medicine. Professorial ranks and tracks in the School of Medicine are governed by "[Faculty Appointment Designations in the School of Medicine](#)," as approved by the provost.

An Academic General Faculty Member and the dean or head of the school may agree that the faculty member should be considered for assignment to a different track as an Academic General Faculty Member (e.g., from teaching to research). During the annual performance review, the supervisor or manager (usually a department chair, associate dean, or dean) and the Academic General Faculty Member should review the Academic General Faculty Member's track assignment and either confirm it is appropriate or discuss recommending a change in track to the dean. A change in track within the academic general faculty professorial ranks must be approved by the dean and the provost. If the change in track is not approved, the Academic General Faculty Member will continue to serve in their current track.

A change from the lecturer ranks or non-faculty librarian positions to the Academic General Faculty professorial or faculty librarian ranks requires a request from the dean to the provost that includes a clear plan and timeline for promotion review. The provost will review the dean's request and, if approved, submit a recommendation to the University's Board of Visitors (see policy [PROV-029: Faculty Appointment Types and Titles](#)). If the change is not approved, the Academic General Faculty Member will continue to serve in their current track.

VI. APPOINTMENT TO TENURE-TRACK POSITIONS:

In selecting candidates for tenure-track positions, the University is committed to principles of both equity and excellence. In most cases, identifying the most qualified available candidates for a tenure-track position requires an open search. Academic General Faculty Members whose qualifications and accomplishments qualify them for consideration for a tenure-track position may apply to such a search at any time. Such application will not affect their current status as an Academic General Faculty Member unless the faculty member is offered and accepts a new appointment on the tenure track.

In rare circumstances, when the dean (and department chair, if applicable) determines that an Academic General Faculty Member would likely be the best available candidate in an open search in that faculty member's area of expertise, the dean may request that the provost waive the search. This request must be made in accordance with the procedure, "[Requesting an Exception to a Recruitment or Selection Process](#)" (see Related Information).

If the provost approves the dean's request, the candidate's appointment must be approved by the faculty of the tenure home department or school as is customary for that school. The appointment of the faculty member on the tenure track must be handled in accordance with [PROV-006: Extending Offers of Employment to Faculty in Academic Areas](#). The faculty member's appointment letter should address the terms and timeframe for tenure, salary, responsibilities, review procedures, and start-up package, if any.

See Procedure 5, Appointment to Tenure-Track Positions, below.

VII. POSITIONS SUPPORTED BY CONTINGENT FUNDS:

The employment of Academic General Faculty Members whose salaries are funded from grants, contracts, or private gifts is subject to the continued availability of such funds. In the event such funds become unavailable, faculty members will normally be placed on leave without pay for the duration of their current appointment and given notice that their appointment will not be renewed. The school and the University may, at their discretion, provide temporary funds from other sources to support the faculty member's position from time to time. Such temporary support does not obligate the school or the University to support the faculty member's position once the grants, contracts, or private gifts are no longer available, nor does it prevent the school or the University from giving the faculty member immediate notice of non-renewal in the event those contingent funds are no longer available.

VIII. CONTRACTS THAT SUPERSEDE THIS POLICY:

The appointment letter typically serves as a faculty member's contract of employment with the University. Appointment letters that deviate from the terms and conditions of this policy must be approved in advance and in writing by the provost. The terms and conditions contained in such appointment letters or contracts that have been approved by the dean and the provost supersede this policy so long as they comply with applicable law and are consistent with the policies of the Board of Visitors.

IX. EXPECTATION OF CONTINUED EMPLOYMENT (ECE):

Individuals who accepted their offer of employment as Academic General Faculty Members prior to January 3, 2017, are eligible to undergo Expectation of Continued Employment ("ECE") review as provided in the remainder of this section. Individuals serving with ECE prior to January 3, 2017, will continue to do so. Eligible faculty not yet reviewed for ECE may choose instead to be evaluated for promotion in accordance with section [II](#), [III](#), and [IV](#) of this policy. Once such a decision is made, the

faculty member's contract renewal will be governed by section [IV](#) of this policy.

A. Eligibility:

Except as limited by contract (see section [VIII. Contracts that Supersede this Policy](#)), individuals who accepted their offer of employment as Academic General Faculty Members before January 3, 2017, who occupy positions that are 0.5 Full-time Equivalent (FTE) or greater are eligible for consideration for ECE. Those occupying positions that are less than 0.5 FTE can be eligible only if their eligibility is explicitly provided for in their appointment letter and the exception is approved, in advance, by the dean and the provost.

Following successful review per the procedures documented below, eligible Academic General Faculty Members serve with ECE with the first reappointment after the sixth year of continuous employment at the University and may be reappointed, reassigned, or terminated only in accordance with the terms of this policy. Academic General Faculty Members who have earned ECE will be reappointed to a term commensurate with the term just completed, so long as the services of the school continue to be the type and scope requiring the faculty member's high level of professional skills and their annual performance reviews reveal job performance judged to be at a high level of productivity and effectiveness.

B. ECE Review Process:

The ECE Review must be completed before an eligible Academic General Faculty Member may be considered to serve with ECE.

For eligible Academic General Faculty Members holding one-, two-, or three-year appointments, ECE Review will usually take place in the fifth year of continuous employment; for those with five-year appointments, it will usually take place in the ninth year of continuous employment.

ECE Review will be conducted by the supervisor or manager (usually a department chair, associate dean, or dean) using written procedures established by the relevant department and/or school and approved by the executive vice president and provost. No supervisor or manager has the unilateral power to award ECE. Any recommendation from a supervisor or manager regarding ECE must follow successful completion of this review process. An eligible Academic General Faculty Member has the right to request that an ECE Review be conducted with reasonable lead-time to allow appropriate consideration during the reappointment process.

If ECE Review has been successfully completed per the provisions of this policy, the supervisor or manager (if someone other than the dean) may recommend to the dean that the faculty member serve with ECE. If the dean concurs, the dean will then submit a recommendation to the provost that the faculty member be reappointed with ECE. If promotion is a consideration, then the review for (and recommendation of) promotion may be concurrent with the ECE Review.

The award of ECE requires the affirmative written approval of the provost; absent such written approval, the faculty member does not serve with ECE. The provost may consider the needs of the University and the performance evaluation recommendation in making this final determination. It is the responsibility of the supervisor or manager to ensure that the ECE Review occurs in accordance with this policy.

Those Academic General Faculty Members who already serve with ECE under a prior version of this policy will not be subject to further ECE Review, although they will be subject to all other provisions of this policy, including an annual review.

If ECE Review is not positive, or the dean does not recommend that the faculty member be reappointed with ECE, notice of non-reappointment, or the intention not to recommend reappointment, must be given in writing in accordance with the standards of notice described above (see section [IV.E. Standards of Notice for Non-Renewal](#)).

An Academic General Faculty Member who is eligible for ECE Review, but who has not been reviewed in a timely fashion, will be reappointed for a term commensurate with the previous term without ECE. An ECE review will be conducted in the year following such renewal. If ECE Review does not occur before the end of this new term, the faculty member may appeal directly to the dean and, if necessary, the provost. If ECE Review occurs before the end of this new term and the recommendation for ECE is negative, notice of non-reappointment or the intention not to recommend reappointment must be given in writing in accordance with the standards of notice described above (see section [IV.E. Standards of Notice for Non-Renewal](#)).

C. Appeal of ECE Decisions on Procedural Grounds:

If a dispute arises over whether ECE Review was accomplished in accordance with this policy, the Academic General Faculty Member may submit a written appeal to the provost, stating the basis for the complaint within sixty (60) days of being notified that he/she will not be reappointed with ECE. Any challenge not filed within sixty (60) days will be deemed waived by the faculty member. The provost can, in addition to reviewing the complaint, delegate the power to investigate the complaint to an employee of the University. The provost has thirty (30) days after receipt of the written appeal to determine if the appeal will be accepted, rejected, or returned to the complainant for further information. The determinations made by the provost are final.

D. Review Waiver:

Nothing, however, precludes the eligible Academic General Faculty Member from waiving, in writing, the right to ECE Review and accepting a reappointment for a three-year term without ECE. Once such a waiver has been submitted and approved by the dean, the faculty member will not be eligible for ECE Review in the future.

E. Contract Renewal and Non-Renewal Under ECE:

Academic General Faculty Members serving with ECE will be reappointed for another three- or five-year term, per school policy (see section [IV.D Renewal Following Promotion](#)), at least one year before the end of their current contract provided their annual performance reviews affirm that their performance remains at a high level and the services of the school continue to be the type and scope requiring the faculty member's high level of professional skills. At any time, if the annual performance review reveals that the faculty member's performance is not at a high level, the school will provide written guidance regarding concerns about performance and stipulate that future reappointments are contingent upon significant improvement in performance. If the faculty member's performance does not improve to the extent required by the school, the school may, with the provost's advance, written approval, give the faculty member notice of non-renewal in accordance with the standard of notice defined in section IV.E. Standards of Notice for Non-

Renewal.

Procedures

1. **Periodic Review:** This policy will be reviewed every three years.
2. **Obtaining Approval for School-Specific Policies on Academic General Faculty:** In complying with the provost's mandate for school-specific policies related to Academic General Faculty Members, schools may either develop separate policies or incorporate specific sections into larger policies governing all academic faculty in the school. These policies must be developed and revised in consultation with the school's faculty with representation from its Academic General Faculty Members. Following any revision of this policy by the Office of the Executive Vice President and Provost, schools must review and revise their school-specific policies and submit them to the vice provost for faculty affairs in the Office of the Executive Vice President and Provost for the provost's review and approval before they are published or considered effective. If a school wishes to make any substantive revisions to its school-specific policies between formal review cycles, those revisions must be submitted for the Provost's review and approval before they are considered effective. The most recent version of each school's policy governing Academic General Faculty Members must be posted on the school's website in an easily accessible location.

3. **Extending Offers of Employment:** See policy [PROV-006: Extending Offers of Employment to Faculty in Academic Areas](#).

4. **Documenting Faculty Qualifications:** Schools requesting approval to hire an Academic General Faculty Member with professorial or faculty librarian rank who does not possess the qualifying terminal degree must send their request, including a description of the individual's qualifications/experience and the individual's curriculum vitae, to the vice provost for faculty affairs.

All documentation related to the faculty member's qualifications must be retained in the individual's personnel record (see policy [HRM-044: Maintaining Faculty Personnel Records](#)).

5. **Appointment to Tenure-Track Positions:** Deans/unit heads may submit a request to waive a search for a tenure-track position in accordance with the terms of this policy and the related procedure, "Requesting an Exception to a Recruitment or Selection Process," to the vice provost for faculty affairs for review and approval. The provost's office will coordinate review with the Office of Equal Opportunity and Civil Rights ("EOCR"). Once the request has been approved by the provost's office and EOCR, the school will submit the employment action through the UVA Human Resources Management System, including the approved justification in the comments field and attaching the candidate's current curriculum vitae.

6. **Consideration of Prior Responsibilities for Promotion:** If a faculty member had a workload distribution or other responsibilities stipulated in prior policy, or in an appointment letter issued prior to the implementation of this policy, any such work performed by the Academic General Faculty Member must factor in their consideration for promotion if the faculty member so desires. For example, previously required scholarship for a faculty member now on the teaching track should not be discounted.

Related Information

[Grievance Procedure for Academic Faculty](#)

[Notification of Non-Discrimination and Equal Opportunity, UVA EOCR](#)

[Disciplinary Suspension or Termination of Academic Faculty](#)

[Requesting an Exception to a Recruitment or Selection Process](#)

[PROV-006: Extending Offers of Employment to Faculty in Academic Areas](#)

[PROV-008: Teaching Courses for Academic Credit](#)

[PROV-026: Faculty Wage Employment](#)

[PROV-029: Faculty Appointment Types and Titles](#)

[HRM-003: Employment of Administrative or Professional General Faculty Members](#)

[HRM-044: Maintaining Faculty Personnel Records](#)

[HRM-045: Faculty External Consulting and Internal Overload](#)

Policy Background

First statement about “members of the general faculty and academic tenure” was issued in 1975 by President Frank L. Hereford. “Policy on the General Faculty” first issued in 1987 by vice president and provost, Paul R. Gross, under direction of President Robert M. O’Neil. Revised in 1997 by Provost Peter Low to stipulate standards of notice for non-renewal. Revised in 2006 by Provost Gene Block as policy, HRM-003: Employment of Non-Tenure-Track Faculty, governing both academic and administrative/professional non-tenure-track faculty. Revised in 2016 by Provost Thomas C. Katsouleas as policy PROV-004: Employment of Academic General Faculty Members.

Major Category [Executive Vice President & Provost Policies](#)

Next Scheduled Review Tuesday, February 25, 2025

Revision History

Minor update Section IX.E 10/20/22; Revised 2/25/22, 1/31/20.

Applies To Text

Academic Division (excludes the College at Wise).

Supersedes Policy Text

HRM-003: Employment of Non-Tenure-Track-Faculty; Policy on General Faculty; PROV-014: Professor of Practice.

Last modified February 19, 2024 - 10:07am

Approved By Executive Vice President and Provost

Approved Date December 14, 2016 - 12:00pm